IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application PATENT APPLICATION

Inventor: Igor Y. Botvinnik
Appl. No.: New Application
Filed: October 15, 2003

Art Unit: New Application
Examiner: New Application

Title: Electro-Kinetic Air Transporter and Conditioner
Devices with a Mesh Collector Electrode Customer No. 23910

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- X The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).
- ___ PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

This si	tatemen	t should be considered because:
	<u>X</u>	37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b because:
		(1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d); OR
		(2) It is being filed within 3 months of entry of a national stage; OR
		(3) It is being filed before the mailing date of the first Office Action on the merits, OR
		(4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
	_	37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
		(1) It is being filed before the mailing date of a FINAL Office Action, a Notice o Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
		AND (check at least one of the following)
		(1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
		(2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
<u>X</u>	additio	uthorization. The Commissioner is hereby authorized to charge underpayment of any onal fees or credit any overpayment associated with this communication to Deposit Account-1325.
		Respectfully submitted,
Date:		R _V .

Sheldon R. Meyer, Reg. No. 27,660

Fliesler Dubb Meyer & Lovejoy LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 415/362-3800 Telephone

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number	
SHPR-01418US	(

Applicant

Serial No.

New Application

INFORMATION DISCLOSURE CITATION **BY APPLICANT**

Igor Y. Botvinnik Group Art Unit Filing Date **New Application** October 15, 2003

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	Name	Class	Subclass	Filing Date
	1,791,338 A	2/3/1931	Wintermute			4/12/1927
	1,869,335 A	7/26/1932	Day			12/13/1926
	2,327,588 A	8/24/1943	Bennett			6/1/1940
	2,359,057 A	9/26/1944	Skinner			2/28/1942
	2,509,548 A	5/30/1950	White			5/27/1948
	2,949,550 A	8/16/1960	Brown			7/3/1957
	3,018,394 A	1/23/1962	Brown			7/3/1957
	3,026,964 A	3/27/1962	Penney			5/6/1959
	3,518,462 A	6/30/1970	Brown			8/21/1967
	3,581,470 A	6/1/1971	Aitkenhead et al.			12/30/1969
	3,638,058 A	1/25/1972	Fritzius			6/8/1970
	3,744,216 A	7/10/1973	Halloran			8/7/1970
	3,981,695 A	9/21/1976	Fuchs			11/2/1973
	3,984,215 A	10/5/1976	Zucker			1/8/1975
	4,052,177 A	10/4/1977	Kide			3/1/1976
	4,092,134 A	5/30/1978	Kikuchi			6/3/1976
	4,138,233 A	2/6/1979	Masuda	-11 4- 7		6/16/1977
	4,209,306 A	6/24/1980	Feldman et al.			11/13/1978
	4,227,894 A	10/14/1980	Proynoff			10/10/1978
	4,231,766 A	11/4/1980	Spurgin			12/11/1978
	4,232,355 A	11/4/1980	Finger et al.			1/8/1979
	4,244,710 A	1/13/1981	Burger			5/9/1978
	4,244,712 A	1/13/1981	Tongret			3/5/1979
	4,253,852 A	3/3/1981	Adams			11/8/1979
	4,259,452 A	3/31/1981	Yukuta et al.			5/15/1979
	4,266,948 A	5/12/1981	Teague et al.			1/4/1980
	4,282,014 A	8/4/1981	Winkler et al.			5/21/1979
	4,284,420 A	8/18/1981	Borysiak			8/27/1979

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION
BY APPLICANT

Attorney Docket Number SHPR-01418US0

Serial No.
New Application

Applicant

Igor Y. Botvinnik

Filing Date
October 15, 2003

Group Art Unit
New Application

		October 15, 200	October 15, 2003		New Application	
4,318,718 A	3/9/1982	Utsumi et al.			7/14/1980	
4,342,571 A	8/3/1982	Hayashi			6/14/1978	
4,357,150 A	11/2/1982	Masuda et al.			2/5/1981	
4,386,395 A	5/31/1983	Francis, Jr.			12/19/1980	
4,413,225 A	11/1/1983	Donig et al.			7/17/1981	
4,445,911 A	5/1/1984	Lind			12/15/1981	
4,477,263 A	10/16/1984	Shaver et al.			6/28/1982	
4,496,375 A	11/29/1985	Le Vantine			6/14/1983	
4,502,002 A	2/26/1985	Ando			9/2/1982	
4,509,958 A	4/9/1985	Masuda et al.			10/8/1982	
4,516,991 A	5/14/1985	Kawashima			4/25/1982	
4,536,698 A	8/20/1985	Shevalenko et al.			8/25/1983	
4,587,475 A	5/6/1986	Finney, Jr. et al.			7/25/1983	
4,600,411 A	7/15/1986	Santamaria			4/6/1984	
 4,601,733 A	7/22/1986	Ordines et al.			9/27/1984	
4,626,261 A	12/2/1986	Jorgensen			12/12/1985	
4,643,745 A	2/17/1987	Sakakibara et al.			12/17/1984	
4,659,342 A	4/21/1987	Lind			4/30/1984	
 4,674,003 A	6/16/1987	Zylka			4/3/1985	
4,686,370 A	8/11/1987	Blach			2/13/1985	
4,689,056 A	8/25/1987	Noguchi et al.			8/29/1986	
4,694,376 A	9/15/1987	Gesslauer			9/26/1985	
4,713,093 A	12/15/1987	Hansson			7/8/1986	
4,713,724 A	12/15/1987	Voelkel			6/30/1986	
4,726,812 A	2/23/1988	Hirth			3/26/1987	
4,726,814 A	2/23/1988	Weitman			6/27/1986	
4,772,297 A	9/20/1988	Anzai			8/19/1986	
 4,779,182 A	10/18/1988	Mickal et al.			6/24/1986	
4,781,736 A	11/1/1988	Cheney et al.			11/20/1986	
4,786,844 A	11/22/1988	Farrell et al.	-		3/30/1987	

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

Attorney Docket Number SHPR-01418US0

Serial No.

New Application

Applicant

Igor Y. Botvinnik

BY APPLICANT Filing Date

Group Art Unit
New Application

 BY APPLIC	ANI	October 15, 2003	New Application	
4,789,801 A	12/6/1988	Lee	4/3/1987	
4,808,200 A	2/28/1989	Dallhammer et al.	11/12/1987	
 4,811,159 A	3/7/1989	Foster, Jr.	3/1/1988	
4,940,470 A	7/10/1990	Jaisinghani et al.	3/23/1988	
4,941,068 A	7/10/1990	Hofmann	8/1/1988	
5,010,869 A	4/30/1991	Lee	8/11/1989	
5,024,685 A	6/18/1991	Torok et al.	6/30/1988	
 Re. 33,927	5/19/1992	Fuzimura	9/15/1989	
5,141,529 A	8/25/1992	Oakley et al.	6/28/1990	
5,183,480 A	2/2/1993	Raterman et al.	10/28/1991	
5,196,171 A	3/23/1993	Peltier	3/11/1991	
5,215,558 A	6/1/1993	Moon	6/11/1991	
5,217,504 A	6/8/1993	Johansson	3/20/1990	
5,296,019 A	3/22/1994	Oakley et al.	8/24/1992	
5,302,190 A	4/12/1994	Williams	6/8/1992	
5,315,838 A	5/31/1994	Thompson	8/16/1993	
5,316,741 A	5/31/1994	Sewell et al.	5/30/1991	
5,378,978 A	1/3/1995	Gallo et al.	4/2/1993	
5,437,713 A	8/1/1995	Chang	12/1/1994	
5,484,472 A	1/16/1996	Weinberg	2/6/1995	
5,484,472 C1	2/20/2001	Weinberg	2/6/1995	
5,535,089 A	7/9/1996	Ford et al.	10/17/1994	
5,578,112 A	11/26/1996	Krause	6/1/1995	
5,601,636 A	2/11/1997	Glucksman	5/30/1995	
5,656,063 A	8/12/1997	Hsu	1/29/1996	
5,667,564 A	9/16/1997	Weinberg	8/14/1996	
5,669,963 A	9/23/1997	Horton et al.	12/26/1995	
 5,698,164 A	12/16/1997	Kishioka et al.	3/30/1995	
5,702,507 A	12/30/1997	Wang	9/17/1996	
5,779,769 A	7/14/1998	Jiang	10/24/1995	

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number	
SHPR-01418US0	

Serial No.

New Application

Applicant

Igor Y. Botvinnik

INFORMATION DISCLOSURE CITATION BY APPLICANT

Filing Date Group Art Unit
October 15, 2003 New Application

			October 13, 2003	New Application
	5,814,135 A	9/29/1998	Weinberg	9/15/1997
	5,879,435 A	3/9/1999	Satyapal et al.	1/6/1997
	5,893,977 A	4/13/1999	Pucci	5/12/1997
	5,911,957 A	6/15/1999	Khatchatrian et al.	10/23/1997
	5,972,076 A	10/26/1999	Nichols et al.	9/28/1998
	5,975,090 A	11/2/1999	Taylor et al.	9/29/1988
	5,997,619 A	12/7/1999	Knuth et al.	7/10/1998
	6,019,815 A	2/1/2000	Satyapal et al.	9/11/1998
	6,042,637 A	3/28/2000	Weinberg	9/28/1998
	6,063,168 A	5/16/2000	Nichols et al.	8/11/1997
	6,086,657 A	7/11/2000	Freije	2/16/1999
	6,118,645 A	9/12/2000	Partridge	4/6/1995
	6,126,722 A	10/3/2000	Mitchell et al.	7/28/1998
	6,126,727 A	10/3/2000	Lo	1/28/1999
	6,149,717 A	11/21/2000	Satyapal et al.	12/22/1998
	6,149,815 A	11/21/2000	Sauter	11/23/1999
	6,152,146 A	11/28/2000	Taylor et al.	6/25/1999
	6,163,098 A	12/19/2000	Taylor et al.	1/14/1999
78	6,176,977 B1	1/23/2001	Taylor et al.	11/5/1998
	6,182,461 B1	2/6/2001	Washburn et al.	7/16/1999
	6,182,671 B1	6/6/2001	Taylor et al.	10/8/1999
	6,193,852 B1	2/27/2001	Caracciolo et al.	5/28/1997
	6,212,883 B1	4/10/2001	Kang	3/3/2000
	6,228,149 B1	5/8/2001	Alenichev et al.	1/20/1999
	6,252,012 B1	6/26/2001	Egitto et al.	8/30/1999
	6,270,733 B1	8/7/2001	Rodden	2/17/2000
	6,277,248 B1	8/21/2001	Ishioka et al.	1/5/2000
	6,282,106 B2	8/28/2001	Grass	12/20/2000
	D449,097 S	10/9/2001	Smith et al.	5/1/2000
	6,302,944 B1	10/16/2001	Hoenig	4/18/2000

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION **BY APPLICANT**

attorney Docket Number	Serial No.
SHPR-01418US0	New Application

Applicant

Igor Y. Botvinnik

Filing Date

Group Art Unit New Application

	BY APPLIC	ANT	Filing Date October 15, 2003	Group Art Unit New Application
	D449,679 S	10/23/2001	Smith et al.	5/1/2000
	6,309,514 B1	10/30/2001	Conrad et al.	11/7/1994
	6,312,507 B1	11/6/2001	Taylor et al.	2/12/1999
	6,315,821 B1	11/13/2001	Pillion et al.	1/11/2001
	6,328,791 B1	12/11/2001	Pillion et al.	5/3/2000
	6,348,103 B1	2/19/2002	Ahlborn et al.	4/3/1999
	6,350,417 B1	2/26/2002	Lau et al.	5/4/2000
	6,362,604 B1	3/26/2002	Cravey	9/28/1999
	6,372,097 B1	4/16/2002	Chen	4/14/2000
	6,373,723 B1	4/16/2002	Wallgren et al.	6/18/1999
	6,379,427 B1	4/30/2002	Siess	12/6/1999
	6,391,259 B1	5/21/2002	Malkin et al.	6/26/1997
	6,447,587 B1	9/10/2002	Pillion et al.	12/6/2001
	6,451,266 B1	9/17/2002	Lau et al.	9/25/2000
	6,464,754 B1	10/15/2002	Ford	2/29/2000
	6,471,753 B1	10/29/2002	Ahn et al.	10/29/2002
	6,504,308 B1	1/7/2003	Krichtafovitch et al.	10/14/1999
	6,544,485 B1	4/8/2003	Taylor	1/29/2001
	6,585,935 B1	7/1/2003	Taylor et al.	11/20/1998
	6,588,434 B1	7/8/2003	Taylor et al.	7/2/2002
		U.S. PA	TENT PUBLICATIONS	
Examiner Initial	Patent Application Public	cation Number	Publication Date	Applicant
	2001/000404	6 A1	6/21/2001	Taylor et al.
	2001/004890	06 A1	12/6/2001	Lau et al.
	2002/006976	60 A1	6/13/2002	Pruette et al.
	2002/007921	2 A1	6/27/2002	Taylor et al.
	2002/009813	1 A1	7/25/2002	Taylor et al.
	2002/010048	8 A1	8/1/2002	Taylor et al.
	2002/012275	1 A1	9/5/2002	Sinaiko et al.
	2002/012275	2 A1	9/5/2002	Taylor et al.

FORM PTO-1449 (Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number	Serial No.
SHPR-01418US0	New Application

Applicant

Igor Y. Botvinnik

INFORMATION DISCLOSURE CITATION	1
BY APPLICANT	

Filing Date
October 15, 2003
Group Art Unit
New Application

2002/0127156 A1	9/12/2002	Taylor
2002/0134664 A1	2/26/2002	Taylor et al.
2002/0134665 A1	9/26/2002	Taylor et al.
2002/0141914 A1	10/3/2002	Taylor et al.
2002//0144601 A1	10/10/2002	Palestro et al.
2002/0146356 A1	10/10/2002	Sinaiko et al.
2002/0150520 A1	10/17/2002	Taylor et al.
2002/0152890 A1	10/24/2002	Leiser
2002/0155041 A1	10/24/2002	McKinney, Jr. et al.
2002/0170435 A1	11/21/2002	Joannou
2003/0005824 A1	1/9/2003	Katou et al.

PENDING U.S. PATENT APPLICATIONS

						to Expunge
Examiner Initial		Application Number	Filing Date	Inventor	Yes	No
	1.	09/669,253	9/25/2000	Taylor et al.		X
	2.	09/924,600	8/8/2001	Taylor et al.		х
	3.	10/074,096	2/12/2002	Taylor et al.		х
	4.	10/074,347	2/12/2002	Taylor et al.		х
	5.	10/074,379	2/12/2002	Taylor et al.		Х
	6.	10/278,193	10/21/2002	Reeves		Х
	7.	10/405,193	4/1/2003	Taylor		Х

FOREIGN PATENT DOCUMENTS

					Tran	Translation	
Examiner Initial		Document Number	Publication Date	Country	Yes	No	
	8.	EP 0433152 A1	12/10/1990	Europe		1	
g	9.	WO00/10713 A1	3/2/2000	International	1		
	10.	WO 01/47803 A1	7/5/2001	International	1		
	11.	WO 01/48781 A1	7/5/2001	International	1		
	12.	WO01/64349 A1	9/7/2001	International	1		
	13.	WO01/85348 A2	11/15/2001	International	1		
	14.	WO02/20162 A2	3/14/2002	International	1		
	15.	WO02/20163 A2	3/14/2002	International	1		

FORM PTO-1449 US DEPARTMENT OF COMMERCE Attorney Docket Number Serial No. SHPR-01418US0 (Substitute) **New Application** PATENT AND TRADEMARK OFFICE Applicant Igor Y. Botvinnik INFORMATION DISCLOSURE CITATION BY APPLICANT Filing Date Group Art Unit October 15, 2003 **New Application** WO02/30574 A1 4/18/2002 16. International 17. WO02/32578 A1 4/25/2002 International / 18. WO02/42003 A1 5/30/2002 International / 19. WO02/066167 A1 8/29/2002 International / 20. FR 2690509 10/29/1993 France / 21. JP 10137007 5/26/1998 Japan / 22. JP 11104223 4/20/1999 Japan / 23. JP 2000236914 9/5/2000 Japan / OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) 24. 'Zenion Elf Device," drawing, prior art 25. Electrical schematic and promotional material available from Zenion Industries, 7 pages, August 1990 26. Promotional material available from Zenion Industries for the Plasma-Pure 100/200/300, 2 pages, August 1990 27. Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990 28. LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages DATE CONSIDERED EXAMINER EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *1 = Copy not submitted because it was submitted in prior application SN ____ , filed , 20 , relied on under 35 USC §120.

filed

*2 = Copy not submitted because it was submitted in prior application SN

, relied on under 35 USC §120.